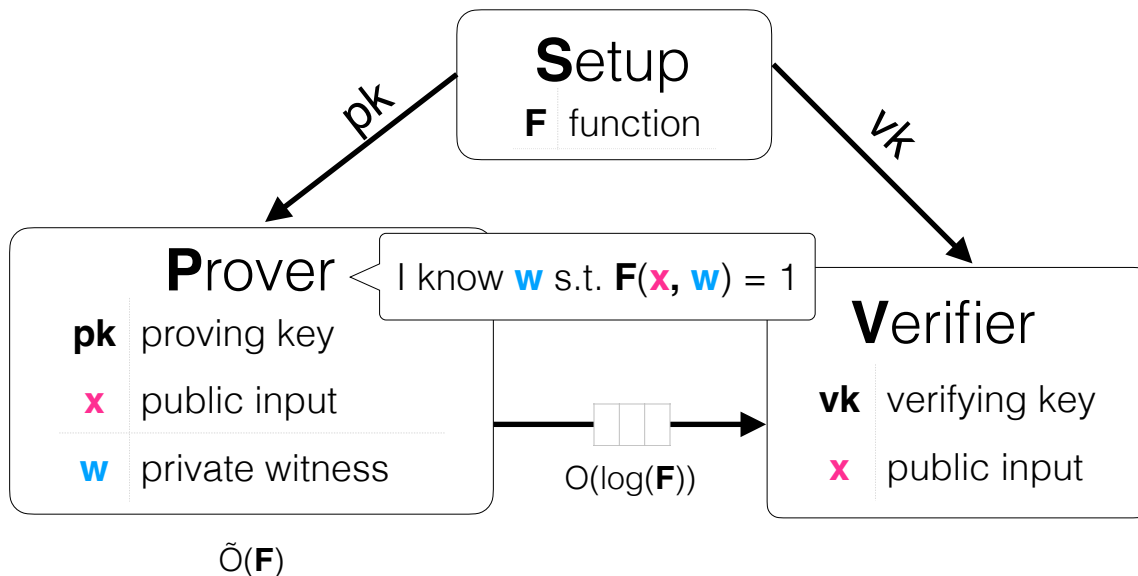


Succinct Arguments

Lecture 02: Modern zkSNARK Constructions

Succinct Non-Interactive Arguments (SNARGs)

[Mic94, Groth10, GGPR13, Groth16...
..., GWC19, CHMMVW20, ...]



Succinct Non-Interactive Arguments (SNARGs)

- **Completeness:** If $(F, x, w) \in \mathcal{R}$,
$$\Pr \left[V(\text{vk}, x, \pi) = 1 : \begin{array}{l} (\text{pk}, \text{vk}) \leftarrow \text{Setup}(F) \\ \pi \leftarrow \mathbf{P}(\text{pk}, x, w) \end{array} \right] = 1.$$
- **Soundness:** If $(F, x, w) \notin \mathcal{R}$, for all efficient provers $\tilde{\mathbf{P}}$
$$\Pr \left[V(\text{vk}, x, \pi) = 1 : \begin{array}{l} (\text{pk}, \text{vk}) \leftarrow \text{Setup}(F) \\ \pi \leftarrow \tilde{\mathbf{P}}(\text{pk}, x) \end{array} \right] \approx 0$$
- **Succinctness:** $|\pi| = O(\text{polylog } |F|)$

What if there's always a witness?

Soundness: If $(F, x, w) \notin \mathcal{R}$, then for all efficient provers $\tilde{\mathbf{P}}$

$$\Pr \left[\mathbf{V}(\mathbf{vk}, x, \pi) = 1 : \begin{array}{l} (\mathbf{pk}, \mathbf{vk}) \leftarrow \text{Setup}(F) \\ \pi \leftarrow \tilde{\mathbf{P}}(\mathbf{pk}, x) \end{array} \right] \approx 0$$

- $F(x, w) := \text{SHA2}(w) \stackrel{?}{=} x$: there is always a preimage!
- $F((m, \mathbf{pk}), \sigma) := \text{VerifySignature}(\mathbf{pk}, m, \sigma) \stackrel{?}{=} 1$: if \mathbf{pk} is a valid public key, there is always a valid signature!
- Generally many examples where **witness always exists!**

SNARGs of Knowledge (SNARKs)

- **Completeness:** For all $(F, x, w) \in \mathcal{R}$,
$$\Pr \left[\mathbf{V}(\mathbf{vk}, x, \pi) = 1 \ : \ \begin{array}{l} (\mathbf{pk}, \mathbf{vk}) \leftarrow \text{Setup}(F) \\ \pi \leftarrow \mathbf{P}(\mathbf{pk}, x, w) \end{array} \right] = 1.$$
- **Knowledge Soundness:** If $\mathbf{V}(\mathbf{vk}, x, \pi) = 1$, then $\tilde{\mathbf{P}}$
“knows” w such that $(F, x, w) \in \mathcal{R}$
- **Succinctness:** $|\pi| = O(\log |F|)$

SNARGs of Knowledge (SNARKs)

- **Completeness:** For all $(F, x, w) \in \mathcal{R}$,
$$\Pr \left[V(\text{vk}, x, \pi) = 1 \quad : \quad \begin{array}{l} (\text{pk}, \text{vk}) \leftarrow \text{Setup}(F) \\ \pi \leftarrow \mathbf{P}(\text{pk}, x, w) \end{array} \right] = 1.$$
- **Knowledge Soundness:** For each efficient $\tilde{\mathbf{P}}$ there exists an **extractor** \mathbf{E} such that
$$\Pr \left[\begin{array}{l} V(\text{vk}, x, \pi) = 1 \\ \wedge \\ (F, x, w) \notin \mathcal{R} \end{array} \quad : \quad \begin{array}{l} (\text{pk}, \text{vk}) \leftarrow \text{Setup}(F) \\ \pi \leftarrow \tilde{\mathbf{P}}(\text{pk}, x) \\ w \leftarrow \mathbf{E}_{\tilde{\mathbf{P}}}(\text{pk}, x) \end{array} \right] \approx 0$$
- **Succinctness:** $|\pi| = O(\log |F|)$

What about privacy?

- $F(x, w) := \text{SHA2}(w) \stackrel{?}{=} x$:
Does proof reveal info about preimage?
- $F((m, \text{pk}), \sigma) := \text{VerifySignature}(\text{pk}, m, \sigma) \stackrel{?}{=} 1$:
Does proof reveal info about which signature was used?
- $F(x = \text{score}, w = \text{credit_hist}) := \text{CreditModel}(w) \stackrel{?}{=} x$
Does proof reveal info about credit history?

Verifier is the adversary now!

Zero Knowledge SNARKs (zkSNARKs)

- **Completeness:** For all $(F, x, w) \in \mathcal{R}$, ...
- **Knowledge Soundness:** For each efficient $\tilde{\mathbf{P}}$ there exists an **extractor** \mathbf{E} such that ...
- **Zero Knowledge:** Proof reveals no information to \mathbf{V} other than validity of w
- **Succinctness:** $|\pi| = O(\log |F|)$

Zero Knowledge SNARKs (zkSNARKs)

- **Completeness:** For all $(F, x, w) \in \mathcal{R}$, ...
- **Knowledge Soundness:** For each efficient $\tilde{\mathbf{P}}$ there exists an **extractor** \mathbf{E} such that ...
- **Zero Knowledge:** For all $(F, x, w) \in R$, and all efficient $\tilde{\mathbf{V}}$ there exists an **simulator** \mathbf{Sim} such that
$$\Pr \left[\mathbf{V}(\mathbf{vk}, x, \pi) : \begin{array}{l} (\mathbf{pk}, \mathbf{vk}) \leftarrow \text{Setup}(F) \\ \pi \leftarrow \mathbf{Sim}(\mathbf{pk}, x) \end{array} \right] = \Pr \left[\mathbf{V}(\mathbf{vk}, x, \pi) : \begin{array}{l} (\mathbf{pk}, \mathbf{vk}) \leftarrow \text{Setup}(F) \\ \pi \leftarrow \mathbf{P}(\mathbf{pk}, x, w) \end{array} \right]$$
- **Succinctness:** $|\pi| = O(\log |F|)$

Doesn't this break soundness?

$$\Pr \left[\mathbf{V}(\text{vk}, x, \pi) : \begin{array}{l} (\text{pk}, \text{vk}) \leftarrow \text{Setup}(F) \\ \pi \leftarrow \text{Sim}(\text{pk}, x) \end{array} \right] = \Pr \left[\mathbf{V}(\text{vk}, x, \pi) : \begin{array}{l} (\text{pk}, \text{vk}) \leftarrow \text{Setup}(F) \\ \pi \leftarrow \mathbf{P}(\text{pk}, x, w) \end{array} \right]$$

Sim has same success probability as honest prover!

- This is actually okay: we provide Sim with additional powers!
- Interactive case: Sim can rewind verifier
 - Non-interactive case: Sim gets “trapdoor”/secret information

What about succinct verification?

Succinctness: $|\pi| = O(\text{polylog } |F|)$

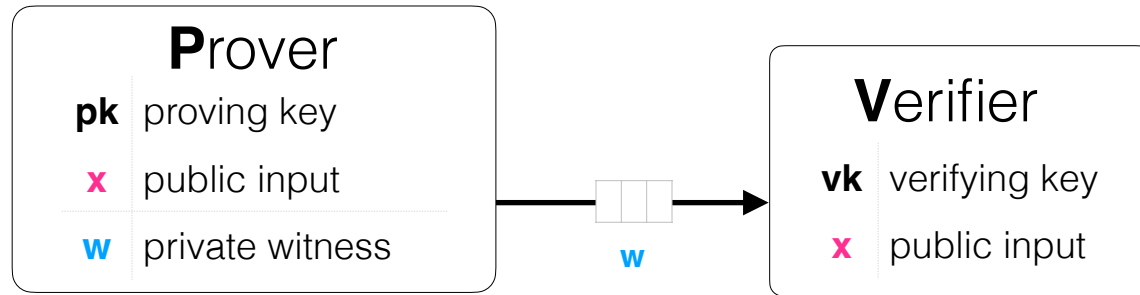
- $F(x, w) = \text{SHA2}^{10^6}(w) \stackrel{?}{=} x$:
Do I need to compute 10^6 hashes to verify proof?
- $F(x = \text{score}, w = \text{credit_hist}) = \text{CreditModel}(w) \stackrel{?}{=} x$
Do I need to evaluate complex model to verify proof?

Strongly Succinct zkSNARKs

- **Completeness:** For all $(F, x, w) \in \mathcal{R}$, ...
- **Knowledge Soundness:** For each efficient $\tilde{\mathbf{P}}$ there exists an **extractor** \mathbf{E} such that ...
- **Zero Knowledge:** For all $(F, x, w) \in R$, and all efficient $\tilde{\mathbf{V}}$ there exists an **simulator** \mathbf{Sim} such that
$$\Pr \left[\mathbf{V}(\mathbf{vk}, x, \pi) : \begin{array}{l} (\mathbf{pk}, \mathbf{vk}) \leftarrow \text{Setup}(F) \\ \pi \leftarrow \mathbf{Sim}(\mathbf{pk}, x) \end{array} \right] = \Pr \left[\mathbf{V}(\mathbf{vk}, x, \pi) : \begin{array}{l} (\mathbf{pk}, \mathbf{vk}) \leftarrow \text{Setup}(F) \\ \pi \leftarrow \tilde{\mathbf{P}}(\mathbf{pk}, x, w) \end{array} \right]$$
- **Succinctness:** $|\pi| = O(\log |F|)$
and $\mathbf{Time}(\mathbf{V}) = O(\text{polylog}(|F|), |x|)$

Constructing zkSNARKs

Starting point: Trivial NP Protocol

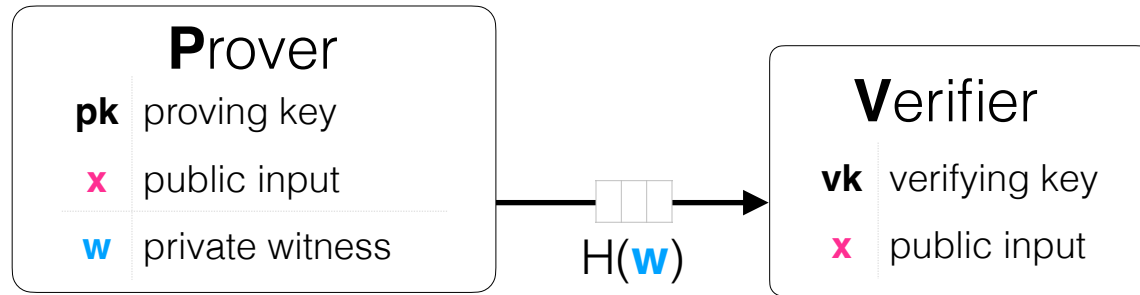


Problem 1: Non-succinct proof!

Problem 2: Non-succinct verification!

Problem 3: Not hiding at all!

Strawman 1: Hash the witness

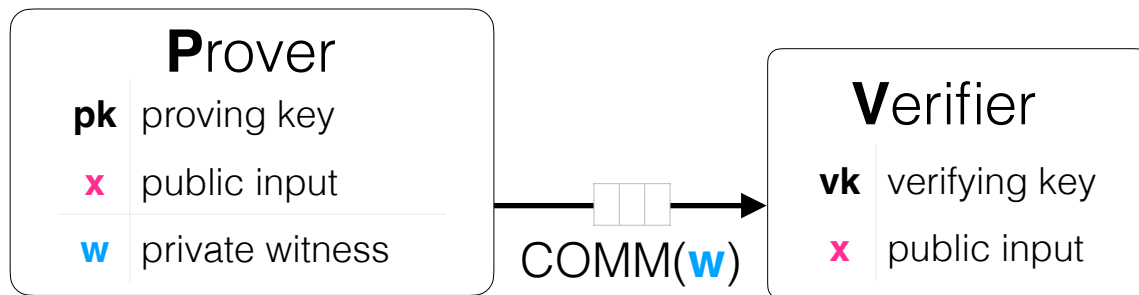


Problem 1 solved: Succinct proof!

Problem 2: How to verify?

Problem 3: Still might not be hiding!

Strawman 2: Commit to the witness



Problem 1 solved: Succinct proof!

Problem 2: How to verify?

Problem 3: Still might not be hiding!

Commitment Schemes

$\text{Commit}(w; r) \rightarrow \text{cm}$

satisfying the following properties

- **Binding:** For all efficient adv. \mathcal{A} ,
$$\Pr [\text{Commit}(w; r) = \text{Commit}(w'; r') : (w, r, w', r') \leftarrow \mathcal{A}] \approx 0$$

(no adv can open commitment to two diff values)
- **Hiding:** For all w, w' , and all adv. \mathcal{A} ,
$$\mathcal{A}(\text{Commit}(w; r)) = \mathcal{A}(\text{Commit}(w'; r'))$$

(no adv can learn committed value, i.e. comms are indistinguishable)

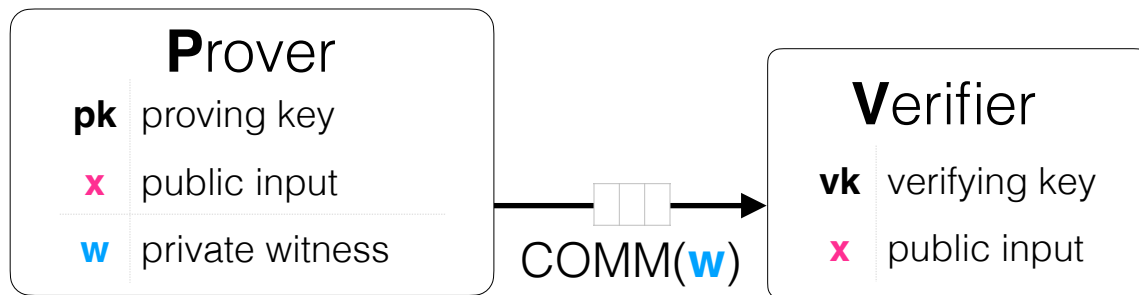
A standard construction

Let H be a cryptographic hash function. Then

$$\text{Commit}(w; r) := H(w, r)$$

is a commitment scheme

Strawman 2: Commit to the witness



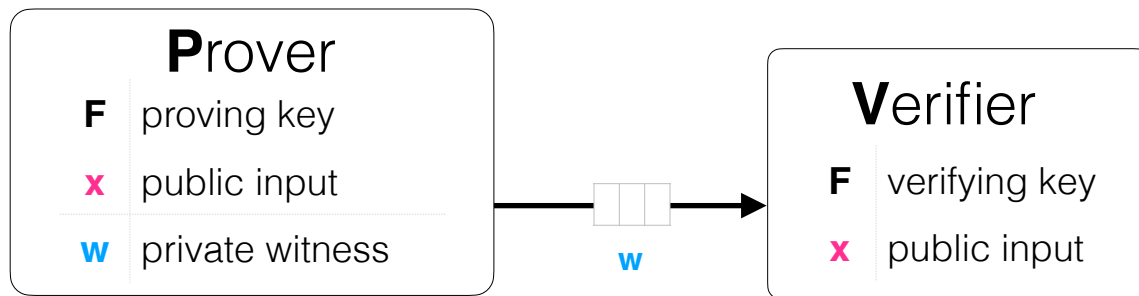
Problem 1 solved: Succinct proof!

Problem 2: How to verify?

Problem 3 solved: COMM hides w!

**Performing checks on
committed data?**

What does V do in the Trivial NP proof?



Evaluate $F(x, w)$!

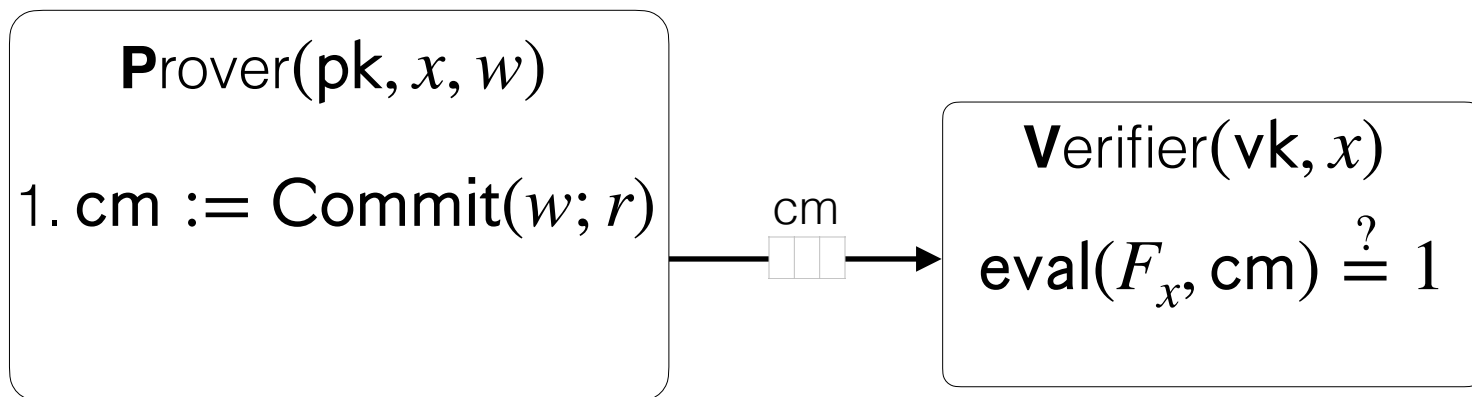
**To apply this to our commitment-based protocol,
do we need a “fully-homomorphic” commitment?**

Homomorphic Commitments?

Pair of algorithms with the following syntax:

- $\text{Commit}(w; r) \rightarrow \text{cm}$
 - Commits to the message
- $\text{Eval}(F_x, \text{cm}) \rightarrow F(x, w)$
 - Evaluates a function over the committed message, and outputs the result in the clear.

Strawman 3: Homomorphic Commitments



Completeness: Follows from that of commitment

Knowledge Soundness: Follows from Trivial NP Proof

Succinct pf size: Follows if eval. proof is succinct

ZK: ???

Problem 1: This would violate ZK: no hiding!

Problem 2: All constructions are inefficient!

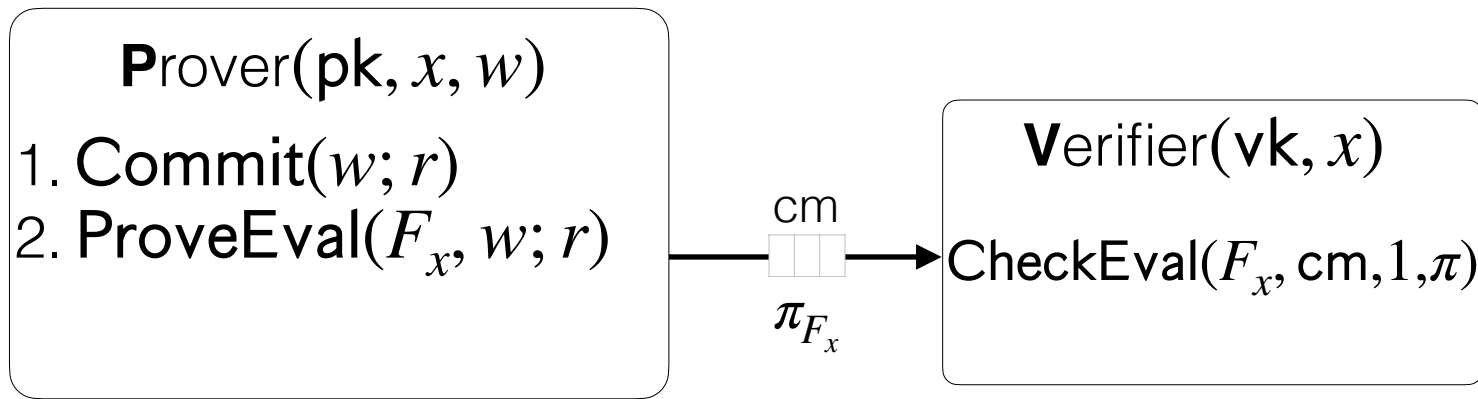
Idea: Ask Prover to help

Triple of algorithms with the following syntax:

- $\text{Commit}(m; r) \rightarrow \text{cm}$
 - Commits to the message
- $\text{ProveEval}(F, m; r) \rightarrow (F(m), \pi)$
 - Returns proof of correct evaluation of $F(m)$
- $\text{CheckEval}(F, \text{cm}, v, \pi) \rightarrow b \in \{0, 1\}$
 - Checks that π is a valid proof that $F(m) = v$, where m is the msg inside cm

Does this work?

Strawman 4: Functional Commitments



Completeness: Follows from that of $(\text{ProveEval}, \text{CheckEval})$

Knowledge Soundness: Ditto

ZK: Follows from hiding

Succinct pf size: Follows if eval. proof is succinct

Are we done?

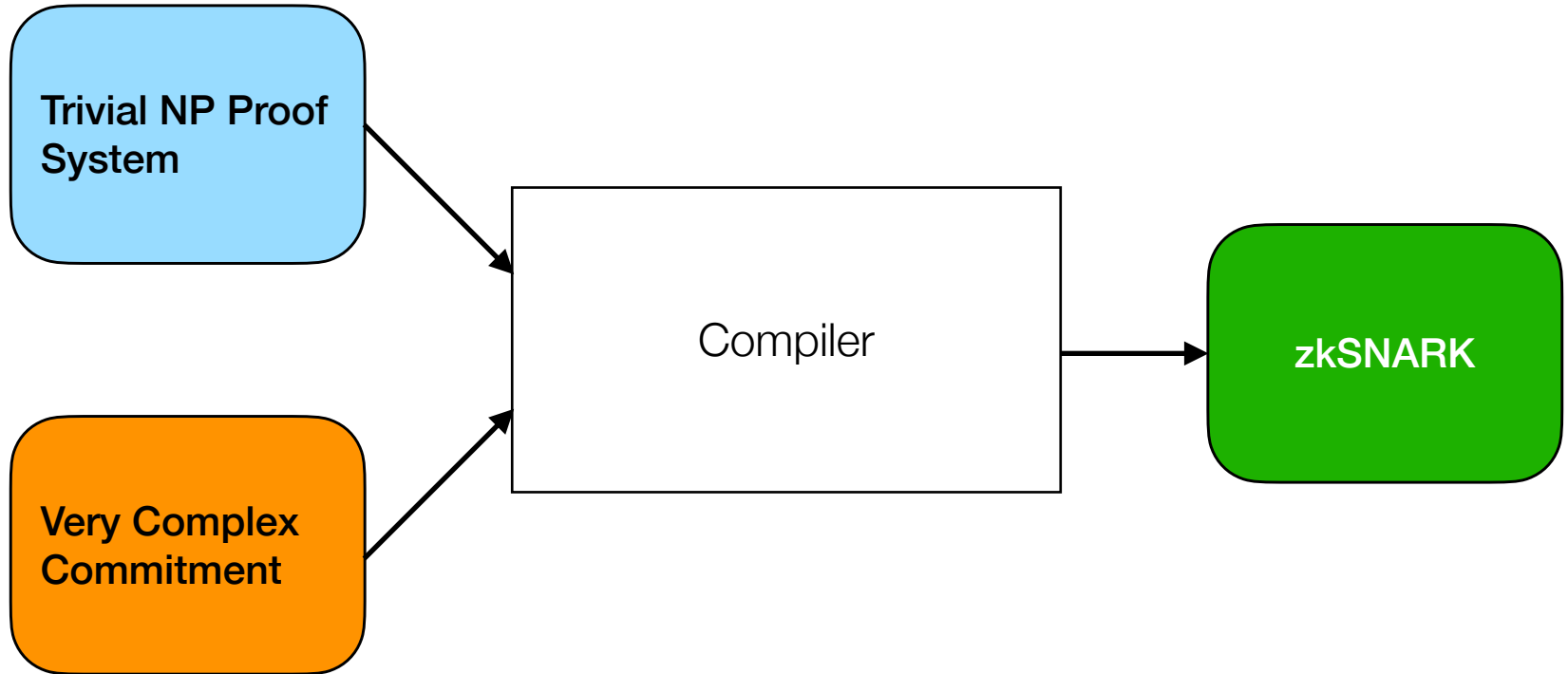
No! We just pushed the problem one layer down!

Problem: This is a zkSNARK for F !

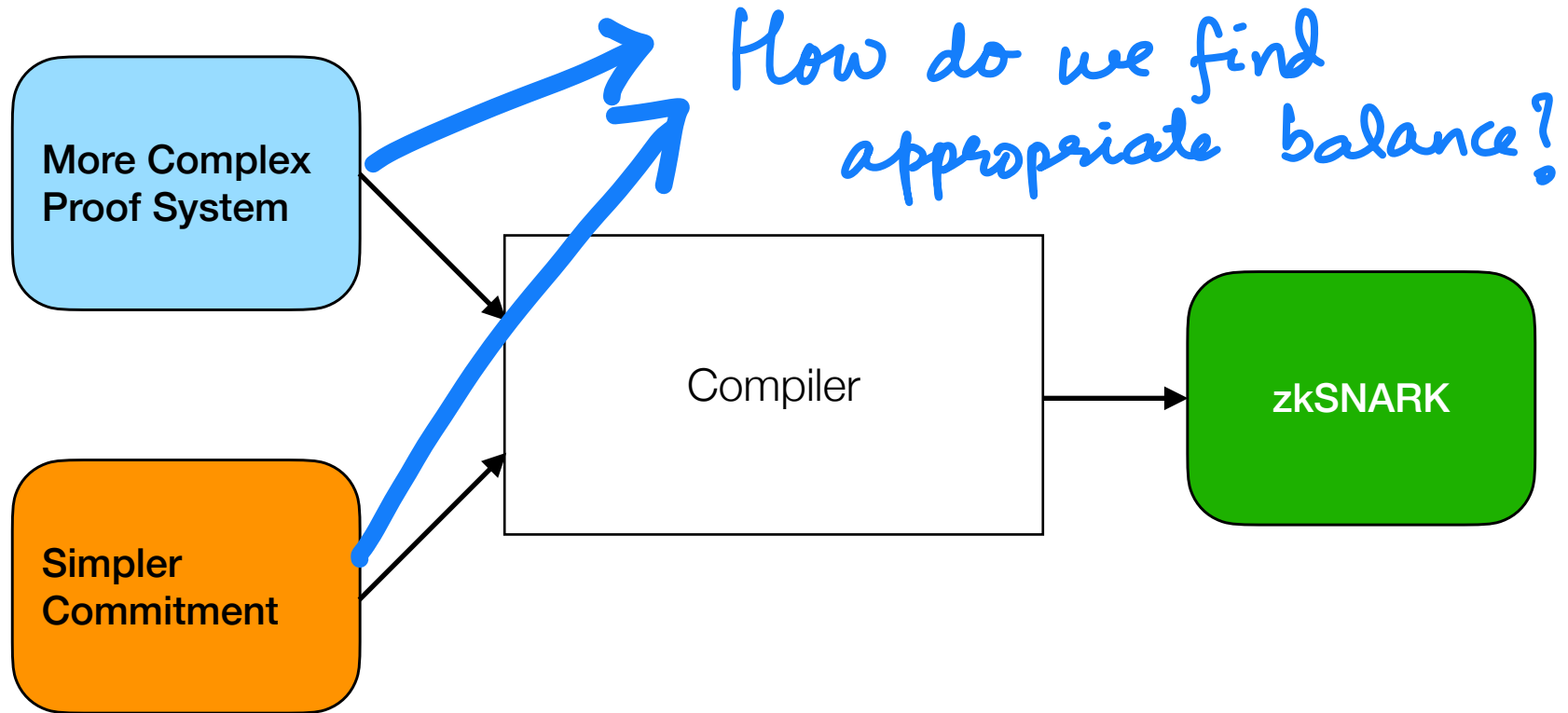
Triple of algorithms with the following syntax:

- **Commit**($m; r$) \rightarrow **cm**
 - Commits to the message
- **ProveEval**($F, m; r$) \rightarrow ($F(m), \pi$)
 - Returns proof of correct evaluation of $F(m)$
- **CheckEval**(F, cm, v, π) $\rightarrow b \in \{0,1\}$
 - Checks that π is a valid proof that $F(m) = v$, where m is the msg inside **cm**

Let's Reassess Our Status



How about we rebalance?



What commitment schemes exist?

Polynomial commitments:

- $F_z(m)$: Interpret m as univariate poly $f(X)$ in $\mathbb{F}[X]$ and evaluate at z

Multilinear commitments:

e.g., $f(x_1, \dots, x_k) = x_1x_3 + x_1x_4x_5 + x_7$

- $F_{\vec{z}}(m)$: Interpret m as multilinear poly $f(X)$ in $\mathbb{F}[\vec{X}]$ and evaluate at \vec{z}

Vector commitments:

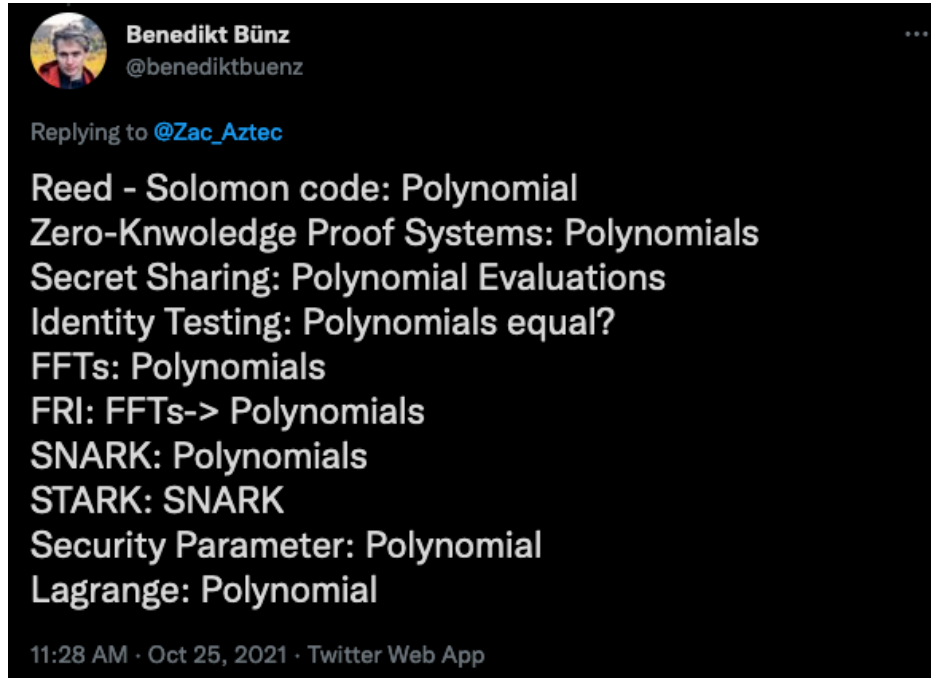
- $F_i(m)$: Interpret m as vector v in \mathbb{F}^n and return v_i

Inner-product commitments:

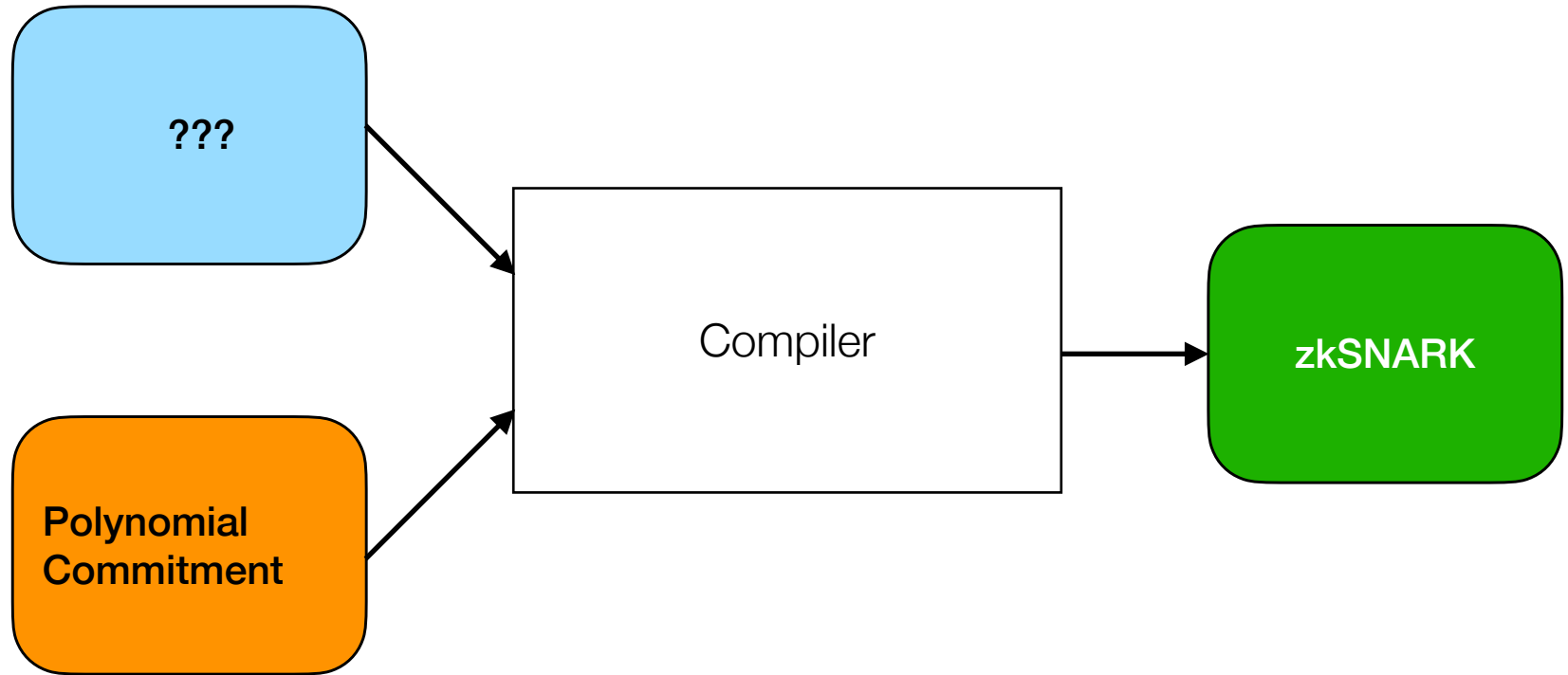
- $F_{\vec{q}}(m)$: Interpret m as vector \vec{v} in \mathbb{F}^n and return $\langle \vec{v}, \vec{q} \rangle$

Which to pick?

A: Polynomials!

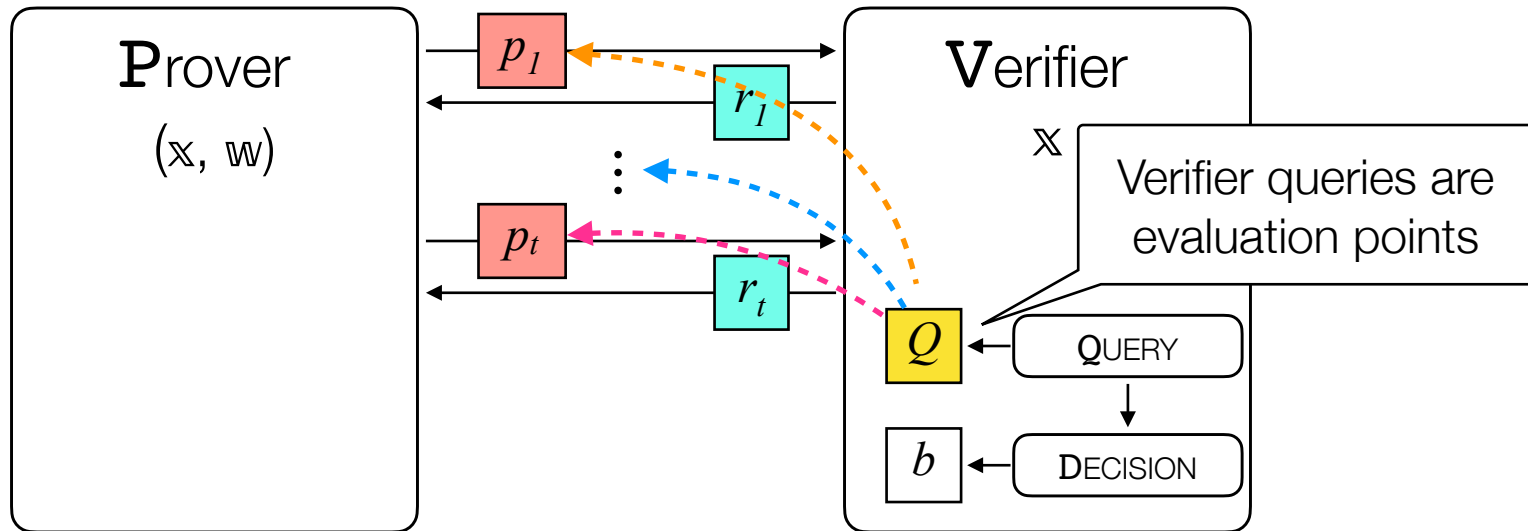


Let's pick polynomials



Polynomial Interactive Oracle Proofs

Polynomial IOPs [GWC19, CHMMVW20, BFS20]



- **Completeness:** Whenever $(x, w) \in R$, there is a strategy for P that outputs **only polynomials**, and which causes V to accept.
- **Knowledge Soundness:** Whenever V accepts against a P that outputs **only polynomials**, then P “knows” w such that $(x, w) \in R$.

Majority of innovation is in PIOPs

Lookup: Fractional decomposition-based lookups in quasi-linear time independent of table size

Sonic: Zero-Knowledge SNARKs from Linear-Size Universal and Updatable Structured Reference Strings

Mary Maller
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PlonK: Permutations over Lagrange-bases for Oecumenical Noninteractive arguments of Knowledge

Ariel Gabizon
ZFunction Technologies

Dmitry Khovratovich
Ethereum Foundation

[arXiv:1812.06448](#)

Ariel Gabizon*
Aztec

Zachary J. Williamson
Aztec

Oana Ciobotaru

Spartan: Efficient and general-purpose zkSNARKs without trusted setup

Srinath Setty
Microsoft Research

HyperPlonk: Plonk with Linear-Time Prover and High-Degree Custom Gates

Binyi Chen
Espresso Systems

Benedikt Bünz
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Espresso Systems

Dan Boneh
Stanford University

Zhenfei Zhang
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**MARLIN:
Preprocessing zkSNARKs
with Universal and Updatable SRS**

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Lunar: a Toolbox for More Efficient Universal and Updatable zkSNARKs and Commit-and-Prove Extensions

Matteo Campanelli¹, Antonio Faonio², Dario Fiore³, Anaïs Querol^{3,4}, and Hadrián Rodríguez³

[arXiv:2006.09661](#)

Caulk: Lookup Arguments in Sublinear Time

Arantxa Zapico^{*1}, Vitalik Buterin², Dmitry Khovratovich², Mary Maller², Anca Nitulescu³, and Mark Simkin²

¹ Universitat Pompeu Fabra[†]

² Ethereum Foundation[‡]

³ Protocol Labs[§]

plonkup: A simplified polynomial protocol for lookup tables

Ariel Gabizon
Aztec

Zachary J. Williamson
Aztec

qq: * Cached quotients for fast lookups

Liam Eagen
Blockstream

Dario Fiore
IMDEA software institute

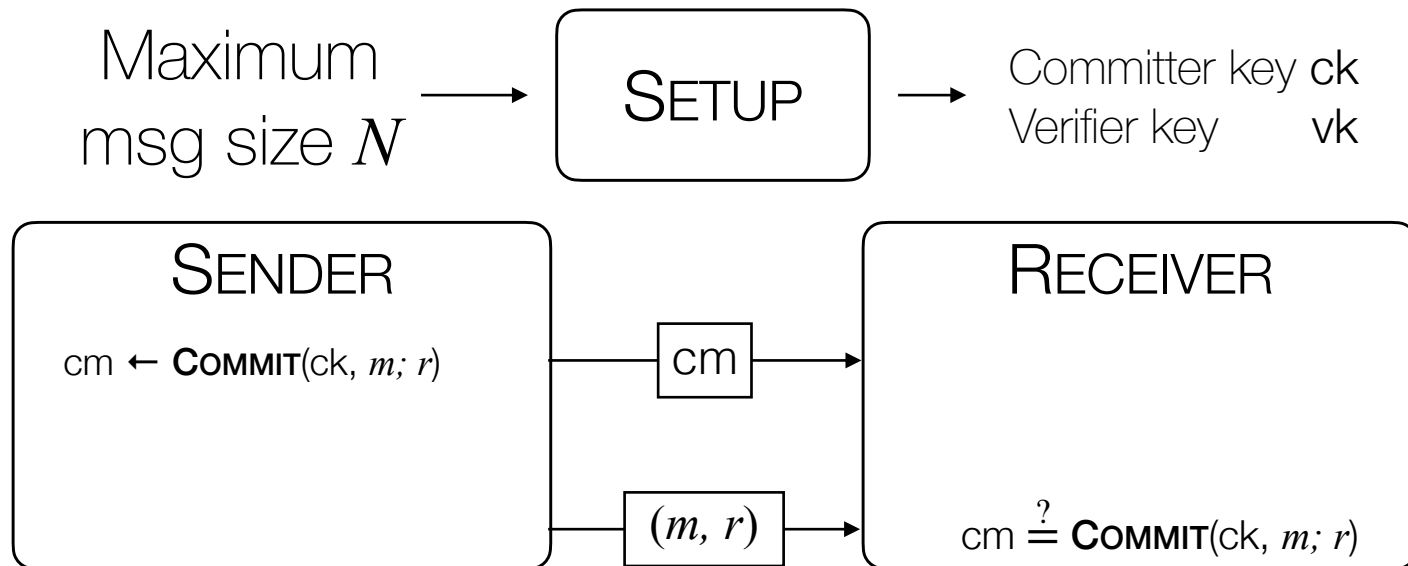
Ariel Gabizon
Zeta Function Technologies

Baloo: Nearly Optimal Lookup Arguments

Arantxa Zapico*, Ariel Gabizon³, Dmitry Khovratovich¹, Mary Maller¹, and Carla Ràfols²

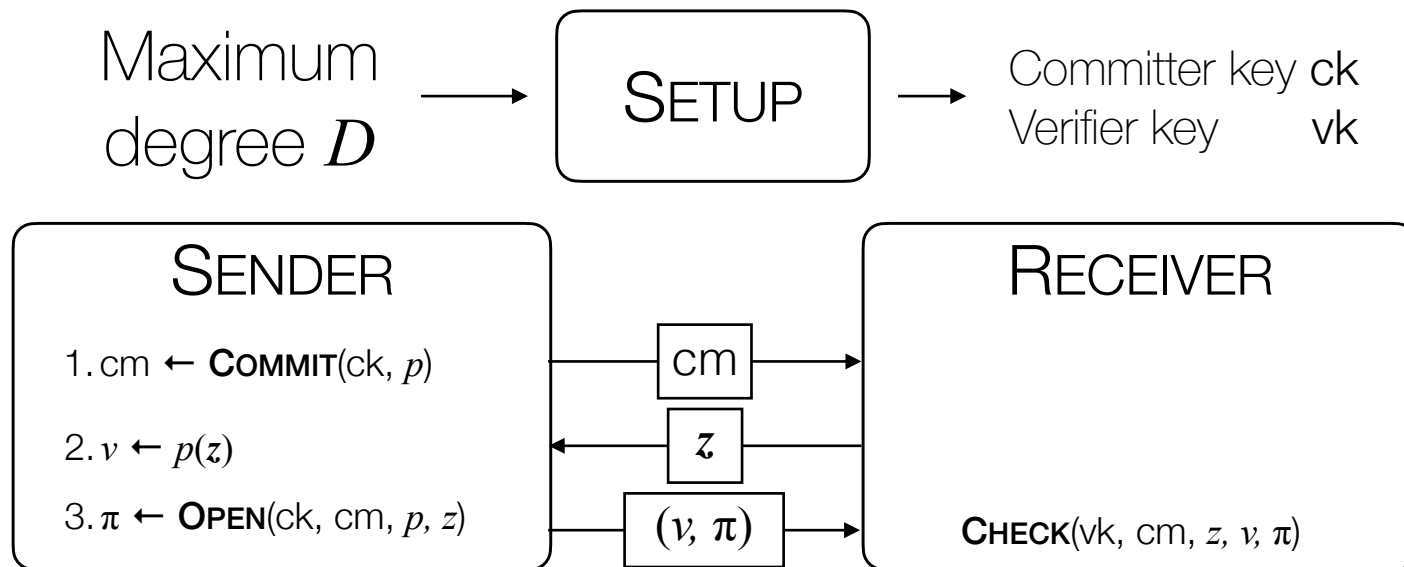
Polynomial Commitments

Recall: Commitment Schemes



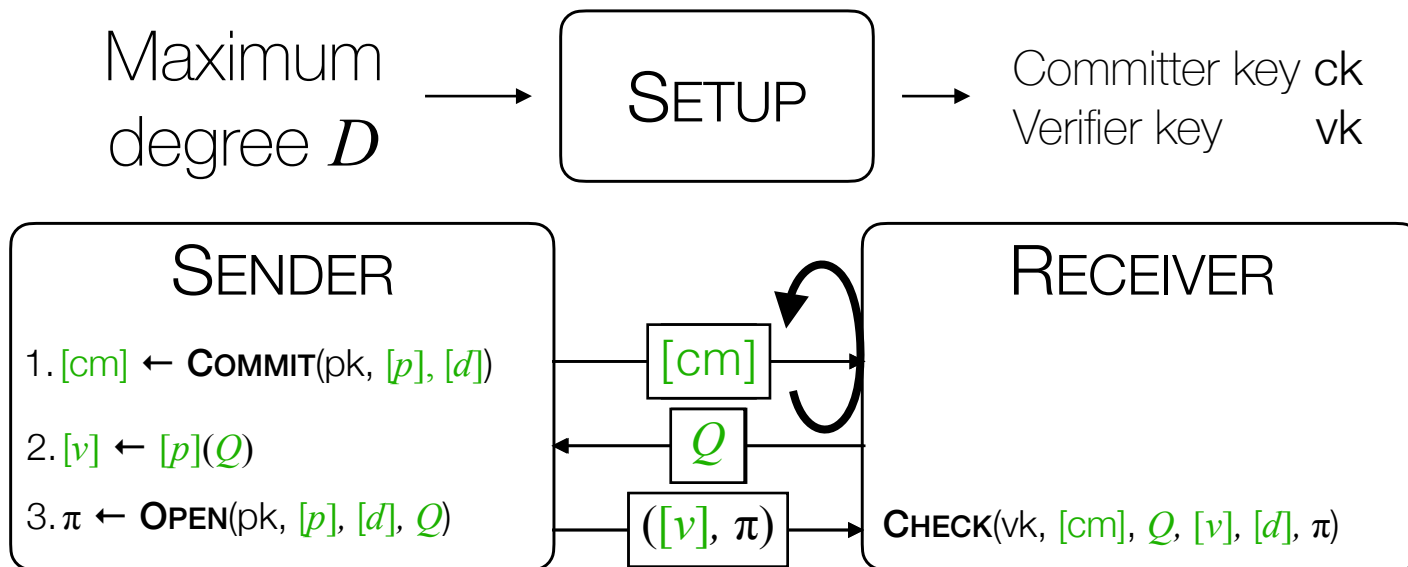
- **Binding:** For $m_1 \neq m_2$, $\text{Commit}(ck, m; r_1) \neq \text{Commit}(ck, m; r_2)$, for any r_1, r_2
- **Hiding:** **cm** reveals *no* information about m before reveal

Polynomial Commitments



- **Completeness:** Whenever $p(z) = v$, **R** accepts.
- **Extractability:** Whenever **R** accepts, **S**'s commitment **cm** “contains” a polynomial p of degree at most D .
- **Hiding:** **cm** and π reveal *no* information about p other than v

Polynomial Commitments



For efficiency improvements, you need

- Batch commitment
- Batch opening

A selection of constructions

In the last 10 years, several constructions with different

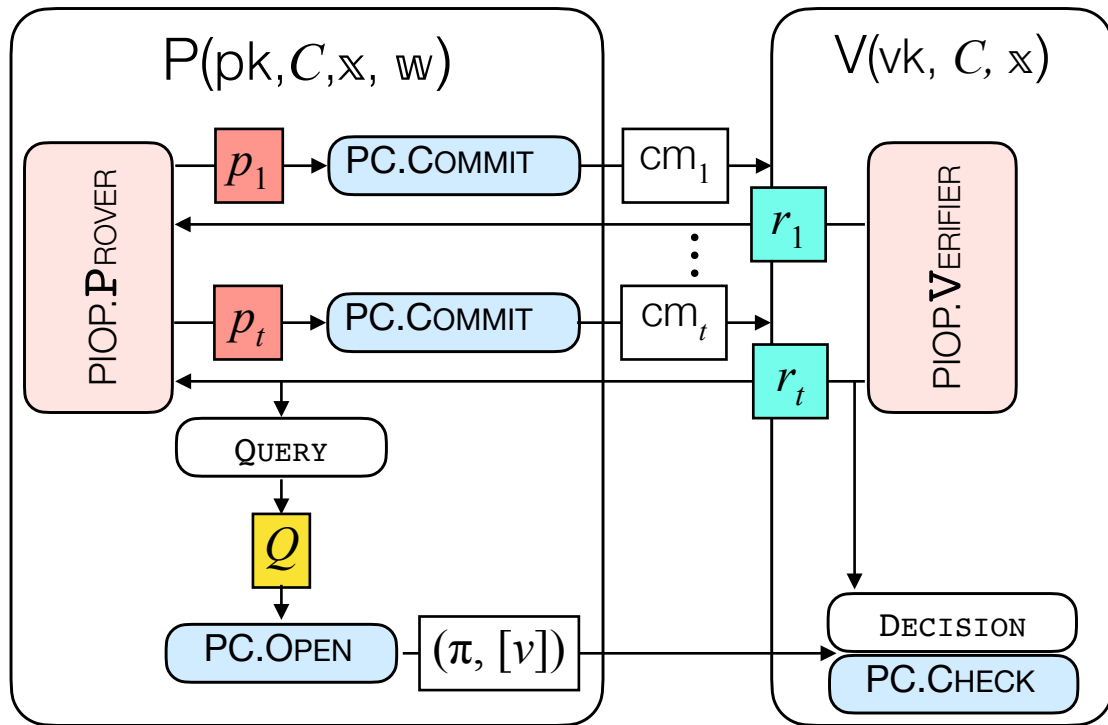
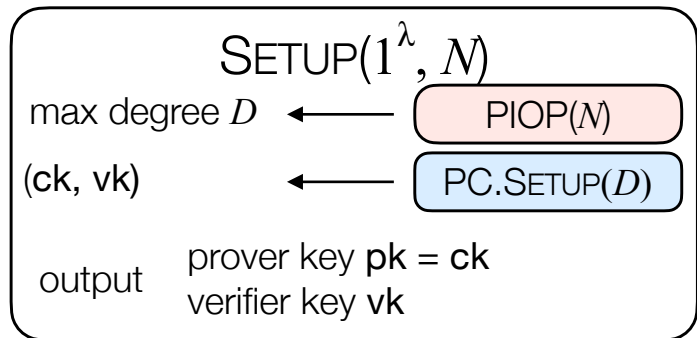
- Cryptographic assumptions
- Prover and verifier efficiency and proof sizes
- Homomorphism and batching properties

Looking ahead, this enables SNARKs with many different properties

	KZG10	PST13	IPA	Hyrax	Dory	BFS20
crypto	Pairings	Pairings	DLog + RO	DLog + RO	Pairing + RO	GUO + RO
# variables	1	m	1	m	1	1
setup type	Private	Private	Public	Public	Public	Public
commitment size	$O(1)$ G	$O(1)$ G	$O(1)$ G	$O(2^{m/2})$ G	$O(1)$ G	$O(1)$ G
proof size	$O(1)$ G	$O(m)$ G	$O(\log d)$ G	$O(2^{m/2})$ G	$O(\log d)$ G	$O(\log d)$ G
verifier time	$O(1)$ G	$O(m)$ G	$O(d)$ G	$O(2^{m/2})$ G	$O(\log d)$ G	$O(\log d)$ G

PIOP + PC = SNARK

PIOPs + PC Schemes \rightarrow SNARK



+ Fiat—Shamir to get non-interactivity

Properties

- **Completeness:** Follows from completeness of **PC** and **AHP**.
- **Proof of Knowledge:** Whenever **V** accepts but $C(\mathbb{X}, \mathbb{W}) = 0$, we can construct either an adversarial prover against **PIOP**, or an adversary that breaks extractability of **PC**.
- **Zero Knowledge:** Follows from hiding of **PC** and bounded-query ZK of **AHP**.
- **Verifier efficiency:**
$$T(\text{ARG.VERIFY}) = T(\text{PIOP.VERIFY}) + T(\text{PC.CHECK})$$